



Intact Financial Institutions

Asset Management Risk Solutions™

The investment management industry is operating in a volatile environment. Asset managers and the funds they oversee face unique and complex risks stemming from the ever-changing regulatory landscape, erratic markets and increased scrutiny by regulators and investors. Intact Financial Institutions has the expertise to provide a broad range of solutions to help protect your firm, its employees, as well as your funds and their directors from the increased professional and management liability exposures.

Our specialized approach features dedicated insurance professionals who understand the complex nature of protecting investment advisers, mutual funds and alternative funds. With a deep understanding of the challenges asset managers face, our underwriters and claims professionals provide a level of expertise and service that helps to differentiate us in the industry.

Our Asset Management Risk Solutions product is designed specifically to meet the unique coverage needs of the investment management industry.

- **Modular coverage form provides a flexible and customizable solution. Available coverages include:**
 - Investment Adviser Management Liability
 - Investment Adviser Professional Liability
 - Fund Liability (including Fund Service Provider Professional Liability)
 - Outside Entity Executive Liability
 - Shareholder Derivative Demand Investigation Costs
 - Independent Director Liability
 - Personal Reputation Expense Reimbursement
 - Employment Practices Liability
 - Fiduciary Liability
- **Separate, dedicated limits for fidelity coverage provided on the Financial Institution Bond, Standard Form 14 and conveniently packaged with our other modular coverages under one common policy jacket.**

Eligible Risks

Asset Management Risk Solutions is designed for asset managers, investment advisers, registered funds, hedge funds, alternative investment funds, family offices and venture capital firms.

Claims and Risk Control Services

Our claims team is focused on financial institutions and is committed to fairly expediting the resolution of your claims. This expertise provides the foundation for superior customer service experience suited to your sophisticated needs. The team is comprised of dedicated professionals who have extensive management and professional liability claim experience and marketplace relationships.

Our claims services include:

- 24/7 claim reporting options
- Prompt and thorough claim investigations
- A specialty claims team with deep experience working exclusively on financial institutions losses

Contact Us

Alexander Ward

Director of Financial Institutions

Phone: 516.521.2413

award@intactinsurance.com

East Region

Deanna Butts

Assistant Vice President

Phone: 212.440.6511

dbutts@intactinsurance.com

Midwest and West Region

Kayla Carlson

Senior Underwriter

Phone: 312.821.4724

kcarlson@intactinsurance.com

Intact Financial Institutions Locations

One State Street Plaza

31st Floor
New York, NY 10004

230 West Monroe St.

Suite 2810
Chicago, IL 60606

About Intact Insurance Specialty Solutions

Throughout the United States, Intact Insurance Specialty Solutions' underwriting companies offer a broad range of specialty insurance products through independent agencies, regional and national brokers, wholesalers and managing general agencies. Each business is managed by an experienced team of specialty insurance professionals focused on a specific customer group or industry segment, and providing distinct products and tailored coverages and services. Targeted solutions include group accident and health; commercial and contract surety; entertainment; environmental; excess property; financial institutions; financial services; inland marine; management liability; ocean marine; public entities; technology; and tuition refund. For further information about U.S. products and services visit: intactspecialty.com.

Intact Insurance Specialty Solutions is the marketing brand for the insurance company subsidiaries of Intact Insurance Group USA LLC, a member of Intact Financial Corporation (TSX: IFC), the largest provider of property and casualty insurance in Canada and a leading specialty insurance carrier in North America. The insurance company subsidiaries of Intact Insurance Group USA LLC include Atlantic Specialty Insurance Company, a New York insurer, Homeland Insurance Company of New York, a New York insurer, Homeland Insurance Company of Delaware, a Delaware insurer, OBI America Insurance Company, a Pennsylvania insurer, OBI National Insurance Company, a Pennsylvania insurer, and The Guarantee Company of North America USA, a Michigan insurer. Each of these insurers maintains its principal place of business at 605 Highway 169 N, Plymouth, MN 55441, except The Guarantee Company of North America USA, which is located at One Towne Square, Southfield, MI 48076. For information about Intact Insurance Specialty Solutions products and services available in Canada, visit: intactspecialty.ca and for information about Intact Financial Corporation, visit: intactfc.com.



Visit intactspecialty.com/financial-institutions for more information.

This material is intended as a general description of certain types of insurance coverages and services. Coverages and availability vary by state; exclusions and deductibles may apply. Please refer to your insurance policy or consult with your independent insurance advisor for specific information about coverages, terms and conditions. Some coverage may be written by a surplus lines insurer through a licensed surplus lines broker. Surplus lines insurers do not generally participate in state guaranty funds and insureds are therefore not protected by such funds.



financial
institutions